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Patent and Trademark Law

The Morrison Building  
145 North Fifth Avenue  
Mount Vernon, NY 10550

Telephone: (914) 667-6755  
Fax: (914) 667-7178

## FAX COVER SHEET

**Fax Number : 703-308-5077**  
**To : George - Refunds Division**  
**Of : US PTO - Refunds**  
**From : Inga Hildreth**  
**Re : Refund Request**  
**Our Ref : M1866-24**  
**Your Ref : 09/531,679**  
**Date : November 9, 2000**

**Total Number of Pages,**  
**Including This One : 13**

**Message :** Enclosed is a Request for Refund of which is being sent to the Art Unit as well as your department. Please review the information. As you can see, we should not have been charged the fee of \$110 because we filed the Missing Parts in a timely matter. If you need additional information please do not hesitate to contact me.  
Thank you,

IF YOU DO NOT RECEIVE ALL PAGES, PLEASE TELEPHONE US IMMEDIATELY AT (914) 667-6755

IMPORTANT NOTICE: The documents transmitted herewith are for the person whose name appears above. They are subject to attorney/client privilege, and are not intended for dissemination to any third person whose name is not above, or who does not have permission of the recipient to receive such information. If you have received this facsimile by mistake, please contact our office at the telephone number above and we will arrange to retrieve the fax at no expense to you. Thank you for your cooperation and courtesies.

#4  
**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor(s) : Osamu KODAMA et al  
Serial No. : 09/531,679  
File Date : March 20, 2000  
Title : BEARING PARTS FOR CYLINDRICAL ROLLER BEARING AND  
NEEDLE ROLLER BEARING  
Art Unit : 3682 Examiner:  
Docket No. : M1866-24

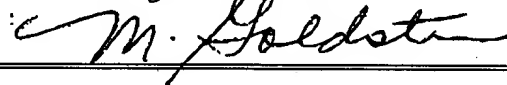
**CERTIFICATE UNDER 37 CFR 1.8(a)**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Hon. Assistant Commissioner for Patents, Washington D.C. 20231 on:

Date

By

Signature

Nov. 9, 2000  
Margaret L. Goldstein  


Hon. Assistant Commissioner for Patents  
Washington, D.C. 20231

**REQUEST FOR REFUND**

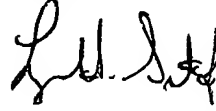
Sir:

On July 24, 2000 we submitted our Response to the Notice to File Missing Parts of Application dated May 24, 2000. The fee due was \$820 of which we paid with our check number 21144. We received our Deposit account statement of which a One Month Extension Fee was charged in the amount of \$110.00.

We enclose a copy of the Missing Parts Response that was filed and mail certified on July 24, 2000, which indicates a timely response to the Missing Parts Notice.

We respectfully request a refund to our deposit account number 13-4550.

Respectfully Submitted,



Lyman H. Smith  
Reg. No. 44,342  
Agent for Applicant

**MORRISON LAW FIRM**  
145 North Fifth Avenue  
Mount Vernon, New York 10550  
(914) 667-6755

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeMONTHLY STATEMENT  
OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents & Trademarks.

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

Account No.	134550
Date	8-31-00
Page	1

MORRISON LAW FIRM  
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145 NORTH FIFTH AVENUE

FINA

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PLEASE SEND REMITTANCES TO:  
Patent and Trademark Office  
P.O. Box 70541  
Chicago, Ill. 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
8	3	00	152	* 09531679	111866-24	115	110.00	7116.00
8	11	00	1	09362930	M2013-22	102	78.00	7038.00
8	18	00	16	08972813	✓M2057-6	117	-870.00	7908.00
8	24	00	5	09379063	111851-8	217	435.00	7473.00
				OPENING BALANCE	7226.00		TOTAL CHARGES	623.00
							TOTAL CREDITS	870.00
							CLOSING BALANCE	7473.00

AN AMOUNT SUFFICIENT TO COVER ALL SERVICES REQUESTED MUST ALWAYS BE ON DEPOSIT.

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MORRISON LAW FIRM  
145 NORTH 5TH AVENUE  
MT. VERNON, N. Y. 10550

Ser./Reg./Pat No. 09/531,679 File No. TM 806-24 By JH  
Title/Mark Bearing Parts for Cylindrical Roller  
In the Matter of the Application of C. Kodama et al  
the following, due 7/24/02 in the U.S. Patent and Trademark Office, was received  
in the Patent and Trademark Office Mail Room on the date stamped hereon:

- |  |   |
|--|---|
| <input type="checkbox"/> Affidavit or <input type="checkbox"/> Declaration   | <input type="checkbox"/> Drawings _____ Sheet(s) including                    |
| <input checked="" type="checkbox"/> Response to Office Action <u>MIP</u>   | _____ Figs. <input type="checkbox"/> Formal <input type="checkbox"/> Informal |
| <input type="checkbox"/> Extension Request   | <input type="checkbox"/> Maintenance Fee                                      |
| <input type="checkbox"/> _____ Application for Patent Including:   | <input checked="" type="checkbox"/> Certificate of Mailing (Express)          |
| pp. Specification, _____ Claims (____ Indep.)  | <input checked="" type="checkbox"/> Power of Attorney                         |
| <input checked="" type="checkbox"/> Declaration or <input type="checkbox"/> Oath <input type="checkbox"/> Abstract | <input type="checkbox"/> IDS and Form PTO-1449                                |
| <input type="checkbox"/> Application for Registration/Renewal Including  | <input type="checkbox"/> Priority Document(s)                                 |
| Specimens/Facsimiles <input type="checkbox"/> ITU  | <input type="checkbox"/> § 8 & 15 Declaration                                 |
| <input type="checkbox"/> Assignment and Form PTO-1595  | <input checked="" type="checkbox"/> Transmittal                               |
| <input type="checkbox"/> Small Entity Declaration  | <input checked="" type="checkbox"/> <u>Part 2 - Formalities</u>               |
| <input checked="" type="checkbox"/> Check No. <u>21144</u> For \$ <u>820. -</u>                                    | _____   |
| <input checked="" type="checkbox"/> Deposit Account Authorization  | _____   |
| Mailed: <u>7/24/02</u>   | _____   |

THOMAS R. MORRISON

21144

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AND TRADEMARKS  
WASHINGTON, D.C.  
20231

DATE

11866-24

07/24

820.00

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820.00

07/24/00  
CHECK NUMBER

21144

TOTAL= \$

820.00



THOMAS R. MORRISON  
145 N. FIFTH AVE.  
MOUNT VERNON, NY 10550  
ATTORNEY AT LAW

THE BANK OF NEW YORK  
FLEETWOOD, NEW YORK 10561  
60-258218

21144

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\*\*\*\* EIGHT HUNDRED TWENTY & 00/100 DOLLARS

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WASHINGTON, D.C. 20231

DATE

AMOUNT

07/24/00

820.00

09/53, 679

"0261144" "02619023526" 800 2387 11.00

AUTHORIZED SIGNATURE

*[Signature]*


**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor(s) : Osamu KODAMA et al.  
Serial No. : 09/531,679  
File Date : March 20, 2000  
Title : BEARING PARTS FOR CYLINDRICAL ROLLER BEARING AND  
NEEDLE ROLLER BEARING  
Art Unit : 3682  
Docket No. : M1866-24

Examiner:

**CERTIFICATE UNDER 37 CFR 1.8(a)**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Hon. Assistant Commissioner for Patents, Box: Missing Parts, Washington D.C. 20231 on:

Date : July 24, 2000  
By : Margaret L. Goldstein  
Signature : 

Hon. Assistant Commissioner for Patents  
Box: Missing Parts  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Sir:

In response to the Notice to File Missing Parts of Application dated May 24, 2000, enclosed herewith please find the following:

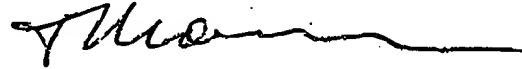
- \* Declaration and Power of Attorney;
- \* Part 2 of Formalities Letter Notice to File Missing Parts of Application;
- \* Check for \$820 to cover the large entity filing fee (\$690) and late filed Declaration surcharge (\$130).



Please charge any deficiencies or credit any overpayments to our Deposit Account No. 13-4550.

It is submitted that the enclosed documents satisfy all outstanding filing requirements in this application. A Filing Receipt is respectfully requested.

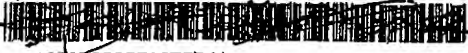
Respectfully Submitted,



Thomas R. Morrison  
Reg. No. 27,361  
Attorney for Applicant

**MORRISON LAW FIRM**  
145 North Fifth Avenue  
Mount Vernon, New York 10550  
(914) 667-6755

## FORMALITIES LETTER



\*OC00000005137676\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/531,679	03/20/2000	Osamu Kodama	M1866-24

Morrison Law Firm  
145 North Fifth Avenue  
Mt. Vernon, NY 10550

Date Mailed: 05/24/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 820.

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE